

New Business Investment Form: Tax-Free Savings Account Collective Investments (Unit Trusts)

Note:

- This application form is to be used only for the opening of a new Tax-Free Savings Account.
- Existing portfolio holders are to use the additional investment form for any additional deposits (top-up investments).
- For debit order, cash flow and details amendments, kindly use the change of details form.
- Legislation permits Tax-Free Savings Account transfers between product providers. The transfer allowance is effective as of the 1 March 2018.

The abovementioned forms can be found on www.stanlib.com/Individuals/Investwithus/Pages/ApplicationForms.aspx if required.

A copy of this application form must be sent to the STANLIB Collective Investments (RF) Proprietary Limited ("Manager") as well as the Financial Adviser. In terms of the FAIS Act, the Financial Adviser servicing the Client must deliver the original to the Client for safe custody.

The maximum investment amount into any number of Tax-Free Savings Accounts is R33 000.00 in total per tax year cycle (i.e. 1st March to end of February of the following year). Any excess amounts above this will be taxed by SARS at 40%. Please make sure you do not make a total contribution exceeding R33 000.00 per tax year into your Tax-Free Savings Accounts. All investors need to sign the client declaration.

FICA DOCUMENTS

Please attach verified copy of: IDENTITY DOCUMENT **OR** PASSPORT **AND** UTILITY BILL

Please note, these documents need to be faxed or e-mailed with the application form. If you are an existing Client you do not have to send the FICA documents, unless the documents have changed.

NATURAL PERSON TYPE

PLEASE INDICATE THE PERSON NATURE: INDIVIDUAL SOLE PROPRIETOR FOREIGN INDIVIDUAL ASSISTED INSOLVENT ESTATE DECEASED ESTATE **ASYLUM SEEKER **REFUGEE

****NOTE: If asylum seeker and refugee natural person type are selected, we will require a copy of your asylum /refugee documentation.**

CLIENT DETAILS: INDIVIDUAL

TITLE *	<input type="text"/>	NAME/S *	<input type="text"/>
SURNAME *	<input type="text"/>	ID/PASSPORT NUMBER *	<input type="text"/>
MAIDEN NAME	<input type="text"/>	PASSPORT EXPIRY DATE *	<input type="text"/> - <input type="text"/> - <input type="text"/> D D M M Y Y Y Y
TRADE NAME (SOLE PROPRIETOR) *	<input type="text"/>		
DATE OF BIRTH *	<input type="text"/> - <input type="text"/> - <input type="text"/> D D M M Y Y Y Y	GENDER	<input type="checkbox"/> FEMALE <input type="checkbox"/> MALE
MARITAL STATUS *	<input type="checkbox"/> SINGLE <input type="checkbox"/> MARRIED <input type="checkbox"/> COMMON LAW SPOUSE <input type="checkbox"/> DIVORCED <input type="checkbox"/> WIDOWED		
CELLPHONE (DIALING CODE) *	<input type="text"/> - <input type="text"/>	TELEPHONE (DIALING CODE)	<input type="text"/> - <input type="text"/>
EMAIL ADDRESS *	<input type="text"/>		
COUNTRY OF PRIMARY RESIDENCE*	<input type="text"/>		
COUNTRIES OF CITIZENSHIP*	<input type="text"/>		
NATIONALITY *	<input type="text"/>		

*Compulsory fields



SOURCE OF INCOME *

CODE:

01. Gifts / inheritance / winnings	04. Passive income (Rental, Dividends, Interest)	07. Retirement / insurance pay out
02. Trade / business	05. Savings	08. Salary / bonus
03. Credit	06. Child / spousal support payments	09. Tax refund

***Compulsory Section**

PURPOSE OF INVESTMENT *

CODE:

01. Start and expand a business	02. Education savings	03. Foreign exchange hedging	04. Save for retirement / financial goals	05. Winding up estate
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***Compulsory Section**

OCCUPATION *

CODE:

01. Executive / General	04. Management	07. Self employed	10. Clerical support
02. Heads of government / cabinet minister / judges	05. Professional	08. Unemployed	11. Craft and trades worker
03. Traditional leader / royal family	06. Religious leader	09. Technician, Sales or Services	12. General Staff
	13. Retired	14. Sports Professional	15. Security Services

***Compulsory Section**

INDUSTRY *

CODE:

01. Government, state owned enterprise, armed forces	05. Politics	09. Administrative and support service	13. Professional, scientific, technical and education	17. Information, technology and communication
02. Gambling	06. Mining and quarrying	10. Agriculture, forestry and fishing	14. Electricity, water, gas supply and waste management	18. Manufacturing, wholesale or retail
03. Non-profit / religious organisation	07. Motor vehicles, transportation, distribution	11. Arts, entertainment, hospitality	15. Financial, investment and insurance	
04. Real estate	08. Unemployed	12. Construction	16. Human health and social work activities	

***Compulsory Section**

ADDRESS DETAILS *

POSTAL ADDRESS *

SAME AS PHYSICAL ADDRESS

POSTAL ADDRESS TYPE PO BOX STREET ADDRESS PRIVATE BAG POSTNET SUITE

PO BOX NUMBER / STREET NUMBER	<input type="text"/>	POST OFFICE NAME / STREET NAME	<input type="text"/>
CITY OR TOWN	<input type="text"/>	PROVINCE / STATE / COUNTY	<input type="text"/>
POSTAL CODE	<input type="text"/>	COUNTRY	<input type="text"/>

***Compulsory Section**

PHYSICAL ADDRESS *

COMPLEX / UNIT / BUILDING NUMBER	<input type="text"/>	COMPLEX / BUILDING NAME	<input type="text"/>
STREET NUMBER	<input type="text"/>	STREET NAME	<input type="text"/>
SUBURB	<input type="text"/>	CITY	<input type="text"/>
COUNTRY	<input type="text"/>	POSTAL CODE	<input type="text"/>



FATCA/CRS SELF-CERTIFICATION DECLARATION FOR INDIVIDUALS

As part of STANLIB's obligation to comply with the U.S Foreign Account Tax Compliance Act (FATCA) we require you to provide us with your tax information. This tax information will be kept on record and will be disclosed to the relevant tax authorities as and when required as per the FATCA regulation.

The information contained under this section is not tax advice. We recommend that you consult a professional tax or legal advisor for specific tax or legal advice. Each Controlling person needs to complete an individual IRS W-9 form for Tax identification and Certification as found on the IRS website: <https://www.irs.gov/pub/irs-pdf/fw9.pdf>.

CITIZENSHIP DETAILS *

ARE YOU A USA CITIZEN? YES NO

If you have selected 'YES', please complete an individual IRS W-9 form for Tax identification and Certification found on the IRS website: <https://www.irs.gov/pub/irs-pdf/fw9.pdf>.

TAX INFORMATION *

ARE YOU A REGISTERED TAX PAYER? YES NO

ARE YOU A REGISTERED TAX PAYER IN THE USA? YES NO

ARE YOU REGISTERED TAX PAYER IN MULTIPLE COUNTRIES? YES NO

- By ticking 'No' you confirm that you are not registered for Tax and you are still required to complete country(ies) of tax residency on the table below.
- If 'YES' to any of the above, please list all countries in which you are a resident for tax purposes and provide the associated Tax Identification Numbers on the table below.
- By ticking 'Not applicable' on the table below, you confirm that the country specified does not issue Tax Identification Numbers.

Country(ies) of Tax Residency *	Tax Identification Number *	Not Applicable
1.		<input type="checkbox"/>
2.		<input type="checkbox"/>
3.		<input type="checkbox"/>
4.		<input type="checkbox"/>
5.		<input type="checkbox"/>

*Compulsory Section

RELATED PARTY (PERSON ACTING ON BEHALF OF INVESTOR / THIRD PARTY FUNDER IF APPLICABLE)

Please note: We will require FICA documentation for the person acting on behalf of the investor (e.g. parent / legal guardian / proxy etc) or the Third Party Funder to this investment application. For a list of FICA Requirements refer to FICA and Business Requirements page under Individual/Sole Proprietor: South Africa.

A **related party** is a person or an entity that is **related** to the reporting entity: A person or a close member of that person's family is **related** to a reporting entity if that person has control, joint control, or significant influence over the entity or is a member of its key management personnel.

FICA DOCUMENTS ATTACHED

CAPACITY OF PERSON ACTING ON BEHALF OF INVESTOR * :

POWER OF ATTORNEY CURATORSHIP LEGAL GUARDIAN PARENT 3RD PARTY BANK ACCOUNT HOLDER

OTHER

IF PARENT, DOES THE MINOR LIVES WITH YOU: YES NO

RELATED PARTY DETAILS (PERSON ACTING ON BEHALF OF INVESTOR / THIRD PARTY FUNDER IF APPLICABLE)

TITLE * NAME/S *
 SURNAME * ID/PASSPORT NUMBER *



MAIDEN NAME PASSPORT EXPIRY DATE * - -
D D M M Y Y Y Y

TRADE NAME (SOLE PROPRIETOR)

DATE OF BIRTH * - - GENDER FEMALE MALE
D D M M Y Y Y Y

MARITAL STATUS * SINGLE MARRIED COMMON LAW SPOUSE DIVORCED WIDOWED

CELLPHONE (DIALLING CODE) * - TELEPHONE (DIALLING CODE) -

EMAIL ADDRESS *

COUNTRY OF PRIMARY RESIDENCE*

COUNTRY OF CITIZENSHIP*

NATIONALITY *

***Compulsory fields**

ADDRESS DETAILS FOR PERSON ACTING ON BEHALF OF INVESTOR

PHYSICAL ADDRESS *

COMPLEX / UNIT / BUILDING NUMBER	<input type="text"/>	COMPLEX / BUILDING NAME	<input type="text"/>
STREET NUMBER	<input type="text"/>	STREET NAME	<input type="text"/>
SUBURB	<input type="text"/>	CITY	<input type="text"/>
COUNTRY	<input type="text"/>	POSTAL CODE	<input type="text"/>

POSTAL ADDRESS *

SAME AS PHYSICAL ADDRESS

POSTAL ADDRESS TYPE PO BOX STREET ADDRESS PRIVATE BAG POSTNET SUITE

PO BOX NUMBER / STREET NUMBER	<input type="text"/>	POST OFFICE NAME / STREET NAME	<input type="text"/>
CITY OR TOWN	<input type="text"/>	PROVINCE / STATE / COUNTY	<input type="text"/>
POSTAL CODE	<input type="text"/>	COUNTRY	<input type="text"/>

***Compulsory Section**

CORRESPONDENCE METHOD

All statements, reports and notices will be sent electronically hence the investor's email is a mandatory requirement.

INVESTMENT SELECTION

DIRECT DEPOSIT: LUMP SUM (Client transfers to STANLIB upon receipt of the investment account number via sms Proof of deposit will be required)

ONCE OFF COLLECTION: DEBIT ORDER (STANLIB to collect - valid bank details and debit date required)

RECURRING PLAN: RECURRING DEBIT (Bank details and preferred debit dates required)

Complete the investment amount next to the fund selection in the space provided.

Please Note:

1. In the interest of 'best advice' STANLIB promotes the use of a licensed Financial Adviser authorized by the Financial Sector Conduct Authority (FSCA).
2. Financial Adviser negotiates the initial charges with the Client STANLIB may at its sole discretion, vary the percentage of the initial charge that it pays to the Financial Adviser.
3. Where a client has a Financial Adviser, STANLIB will put them in the Financial Adviser class and where a client is investing directly with STANLIB, they will be invested in the Direct Class.



4. If the Client chooses not to use a Financial Adviser service, or if the Financial Adviser's Initial Charge is not specified on this Application Form, no initial charge will be applied to the investment.
5. Service charges (annual service charges) are set by STANLIB.
6. If the initial Financial Adviser charge specified is higher than the maximum fee, the Maximum Service Charge will be applied.
7. All Tax-Free Savings Accounts are subject to an annual maximum contribution of R33 000.00. STANLIB will not accept an instruction for a once-off debit order of more than R33 000 or monthly debit orders in excess of R2 750.00.
8. **Where you are an intermediated client and no class has been selected, we will default to intermediated class selection**
9. **Where you are a direct client, we will default to clean class regardless of class selection**

All Charges quoted below are exclusive of VAT. Please mark your fund selection with an 'X'

Fund Name	Advice Fee Class		Clean Fee Class		Lump Sum Investment Money Market: R25 000 Min Other Funds: R5 000 Min		Recurring Investment Money Market: R2 000 Min Other Funds: R500 Min		Maximum Initial Financial Advice Charge (excl. VAT)
	(Incl. Ongoing Advice Fee excl. VAT)**	Service Charge	(Excl. Ongoing Advice Fee excl. VAT)***	Service Charge	Amount	Initial Advice Charge (excl. VAT)	Amount	Initial Advice Charge (excl. VAT)	
INCOME									
STANLIB Money Market Fund			R	0.50%		0.00%		0.00%	0.00%
STANLIB Income Fund	B7	1.00%	R	0.75%		%		%	0.60%
PRESERVATION									
STANLIB Absolute Plus Fund	A	1.50%	B1	1.00%		%		%	3.00%
GROWTH									
STANLIB Balanced Cautious Fund	A	1.50%	B1	1.00%		%		%	3.00%
STANLIB Balanced Fund	A	1.50%	B1	1.00%		%		%	3.00%
STANLIB Equity Fund	A	1.50%	R	1.00%		%		%	3.00%
STANLIB Global Balanced Feeder Fund	A	1.10%	B1	0.60%		%		%	3.00%
STANLIB Global Balanced Cautious Feeder Fund	A	1.10%	B1	0.60%		%		%	3.00%
STANLIB Global Equity Feeder Fund	A	1.10%	B1	0.60%		%		%	3.00%
STANLIB Property Income Fund	A	1.30%	B1	1.00%		%		%	3.00%
STANLIB MULTI-MANAGER RANGE									
STANLIB Multi-Manager Enhanced Yield Fund			B1	0.50%		%		%	0.00%
STANLIB Multi-Manager Flexible Property Fund	A	1.30%	B1	1.00%		%		%	3.00%
STANLIB Multi-Manager Property Fund	A	1.30%	B1	1.00%		%		%	3.00%
STANLIB Multi-Manager Shari'ah Balanced Fund of Funds	A	1.15%	B1	0.65%		%		%	3.00%
OTHER									
Fund Name	Advice Fee Class		Clean Fee Class		Lump Sum Investment		Recurring Investment		Initial Advice Charge
	(Incl. Ongoing Advice Fee excl. VAT)**	Service Charge	(Excl. Ongoing Advice Fee excl. VAT)***	Service Charge	Amount	Initial Advice Charge	Amount	Initial Advice Charge	
						%		%	
						%		%	
						%		%	
						%		%	
TOTAL:									



INCOME DISTRIBUTION

All distributions will be reinvested as per the investment selection unless otherwise instructed.

Fund Name	Income Distribution Method			
	Pay into Bank Account	Reinvest into Existing Fund	Reinvest into Another Fund	Fund Name to Reinvest into
	<input type="checkbox"/>		<input type="checkbox"/>	
	<input type="checkbox"/>		<input type="checkbox"/>	
	<input type="checkbox"/>		<input type="checkbox"/>	
	<input type="checkbox"/>		<input type="checkbox"/>	
	<input type="checkbox"/>		<input type="checkbox"/>	

BANKING DETAILS TO BE LINKED TO THIS INVESTOR FOR E-TRADE *

Payments to third-party bank accounts are not allowed. Payments can only be paid into an account in the name of the investor.

It is compulsory to furnish us with banking details. Use the bank details provided to link to e-trade.

E-trade refers to the electronic portal designed to provide Investors with a service to actively manage their portfolios and instruct trades at their discretion. Transactions are executable with a computer or smartphone device and are made quickly with no paperwork required. Typically the user will login to the STANLIB Online website and process transactions on their portfolios. **These transactions can be additional investments, switches, redemptions and regular cash flow plans (CFPs).** This service is appealing to investors as it allows more control and easier transactability over their portfolios. "You may want to link to E-trade so that you can always get a trade in quickly when you need to".

AUTO LINK ME TO E-TRADE

Please fill in this section if banking details are different from the banking details provided above.

BANK

COUNTRY

BRANCH BRANCH CODE

ACCOUNT NUMBER

ACCOUNT TYPE CURRENT/CHEQUE SAVINGS

ACCOUNT HOLDER'S ID NUMBER

ACCOUNT HOLDER'S NAME

FINANCIAL SERVICES PROVIDER

- The investor acknowledges that any fee stipulated on this instruction will be paid to the broker on record.**
- If a different adviser needs to be paid the fee for this instruction, a new fully completed change of financial adviser instruction is to be submitted first.**
- The Investor confirms that he/she has appointed a Financial Services Provider (FSP) whose registered with the Financial Sector Conduct Authority (FSCA) for purposes of his/her dealings with the Manager.
- The Investor agrees that the Manager will pay the FSP the charges as set out in this application form. Where the FSP is a legal entity (e.g a company or a close corporation) the FSP is represented herein by the natural person identified in the relevant block below. Where the FSP has a Category II FAIS licence and the Investor has mandated the FSP (or it's Representative) to act on his/her behalf in terms of a written agreement a copy of the written agreement must be provided to the Manager. The Manager will not act on instructions from the FSP if it has not received a copy of this agreement. The Manager is obliged by law to decline any instructions from an FSP who is not properly licensed with the Financial Sector Conduct Authority (FSCA).
- Where the Investor has terminated his FSP's appointment, it is the Investor's responsibility to advise the Manager of such termination immediately. On receipt of such written notification, the Manager will cease payment of all charges, other than accrued charges to the FSP.
- The Investor understands that where he/she changes FSP the consequences of such change may result in different or new fund and fees structures.

FINANCIAL ADVISER

If financial advisor details are omitted, the instruction will be processed as STANLIB direct client.

SILICA BROKER CODE



9.15. If we become involved in a proposed or actual merger, acquisition, or any form of sale of assets, we may use and disclose your Personal Information to third parties in connection with the evaluation of the transaction. The surviving company, or the acquiring company in the case of a sale of assets, would have access to your Personal Information, which would continue to be subject to these terms.

9.16. You have the right to request a copy of the Personal Information we hold about you. We are allowed to charge you a fee to provide this information to you.

9.17. You have the right to request that we update, correct, or delete your Personal Information.

10. Collection of Investor information: STANLIB reserves the right to go back to the client for more information if this has been identified during the compliance process. Unless previously provided to STANLIB, please send verified/certified copies of the documents set out below. These are used to verify the identity of the Investor: strictly, only clear, legible copies of identity and other documents will be accepted, the Manager reserves the right to ask for further documentation.

11. Off-Boarding the Investor: STANLIB reserves the right to off-board a client should any aspects of the compliance process deem the client to be of an unacceptable risk. We can only process instructions which are fully complete and accurate, and once the money for the investment reflects in our bank account. We may suspend, delay, or reject your instruction if it does not meet our requirements. You indemnify STANLIB against any loss of any nature which may arise if any money that you pay to a STANLIB bank account is reversed for any reason.

12. Unclaimed Assets: The client acknowledges that it will ensure that STANLIB has their most up to date contact details and takes responsibility to inform STANLIB of any changes in personal information. In the event that the client becomes unreachable or any payment due to the client is rejected by the receiving bank, the client's investment will be regarded as an unclaimed asset. STANLIB will make a concerted effort in contacting the client with unclaimed assets which may result in STANLIB appointing an external tracing company to trace and contact the client in respect of any unclaimed assets. Costs related to tracing and administering unclaimed assets may be recovered from the client. Unclaimed assets will continue to be invested in the portfolio until such time the assets are claimed or transferred to another portfolio with the Authority's or client's consent.

13. Cooling off rights: Due to the nature of this product, cooling off rights are not applicable to Collective Investment Schemes.

14. Trustees: Standard Chartered Bank, 4 Sandown Valley Crescent, Sandton, 2196, Johannesburg. Telephone: (011) 217 6600

15. Query Support and Middle Office: STANLIB Compliance and Complaints, PO Box 202, Melrose Arch, 2076 Telephone: 0860 123 003

16. Complaints: Should the Client wish to lodge a complaint with STANLIB regarding the services being provided, the Client can locate STANLIB's Complaints procedure on www.stanlib.com/Contactus/Pages/FurtherQueries, alternatively the Client can send an email to rateus@stanlib.com

17. STANLIB is a member of the Liberty Group of companies. Liberty is a full member of the Association for Savings & Investments South Africa (ASISA).

18. This application form and the relevant trust deed in respect of the STANLIB Collective Investments Scheme will form the agreement between the investor and the Manager. The investment will be administered in terms of the Collective Investment Schemes Control Act, 45 of 2002 (The Act)

19. Contact details: STANLIB Collective Investments (RF) Proprietary Limited (Reg. No. 1969/003468/07). 17 Melrose Boulevard, Melrose Arch, 2196 | P O Box 202, Melrose Arch, 2076; T + 27 (0)11 448 6000 | F 086 727 7505/+ 27 (0)11 448 6666; E contact@stanlib.com | W www.stanlib.com.

20. POPI: We are required to collect, process and share your Personal Information (PI). Your PI is collected and processed by our staff, representatives or sub-contractors and we make every effort to protect and secure your PI. You are entitled at any time to request access to the information STANLIB has collected, processed and shared.

21. FAIS Ombudsman details: Block B, Sussex Office Park, 473 Lynwood Road, Pretoria, 0081. Telephone 012 470 9080.

CLIENT DECLARATION: TAX-FREE SAVINGS ACCOUNT (PLEASE READ CAREFULLY)

I acknowledge that I have decided to invest in Collective Investment Scheme portfolios qualifying as a Tax-Free Savings Account managed in accordance with the Regulations in terms of section 12T of the Income Tax Act of 1962, on the requirements for tax-free investments. I

acknowledge that I am a South African resident and that I am aware of the maximum total of annual and lifetime contribution(s) as detailed below:

- **Annual maximum:** An individual can contribute a total of R33 000.00 (Thirty-Three Thousand Rand) annually.
 - Annual contributions align with an individual's tax year, i.e. the 12 months from 1st March to the last day in February the following year.
 - Should an investor decide to invest in more than one Tax-Free Savings Account, the maximum annual aggregate capital amount that can be invested remains R33 000.00 (Thirty-Three Thousand Rand).
 - Any interest, dividends and capital gains that are reinvested shall not be taken into account in determining the maximum annual contribution.
- **Lifetime maximum:** An individual can contribute a total of R500 000 (Five-Hundred Thousand Rand) over his/her lifetime.
 - This maximum applies to the total capital contributions only. All interest, dividends and capital gains earned in this may be automatically re-invested tax-free to accrue further interest and dividends.
 - Any capital contribution amount that is withdrawn will still form part of the annual and lifetime contribution maximum. I further acknowledge that I am aware that I will incur a tax penalty of 40% for any contributions that exceed the annual and lifetime limits and that I will not hold the Manager responsible for any penalties incurred by me as a result hereof.

I further acknowledge that it remains my responsibility as an investor to adhere to the annual and lifetime contribution limits, either individually or in aggregate, and that any contributions in excess of these limits will incur a 40% tax penalty in accordance with section 12T of the Income Tax Act of 1962. I indemnify the Manager against any losses suffered by not complying with the above prescribed limits. **The Manager is not permitted to accept investment amounts in excess of the above annual limit and any amounts greater than R33 000.00 (Thirty-Three Thousand Rand). Where possible, the identified excess funds will be placed in a normal collective investment scheme and not form part of the Tax-Free Savings Account, where this is not possible, the instruction will be returned to the client for review and re-submission.** I acknowledge that I am aware that Transfers of Tax-Free Savings Accounts are allowed by the Regulator and that this includes both transfers from one institution or product provider to another, or between products of the same product provider as of 1st March 2018.

SIGNATURE OF CLIENT/ AUTHORISED SIGNATORY *

DATE *

		-			-				
D	D		M	M		Y	Y	Y	Y

SIGNED AT

*compulsory field

FICA DECLARATION

TO BE COMPLETED BY FINANCIAL ADVISERS NOT SUBMITTING FICA DOCUMENTATION

I confirm that I am the primary accountable institution (as described in the FICA regulations) in respect of the investor on whose behalf I am establishing a business relationship or concluding a single transaction with the Manager (the secondary accountable institution). I confirm that I have established and verified the identity of the investor in terms of section 21 of FICA. I confirm that I will keep a record of the investor's records as is required in terms of section 21 of FICA. I will make available copies of these documents and details of the verification procedures followed on request from any party entitled thereto in terms of FICA within 5 business days of request

SIGNATURE OF FINANCIAL ADVISER

DATE

		-			-				
D	D		M	M		Y	Y	Y	Y

*If no date is indicated, we will default to the receipt date

SIGNED AT



DECLARATION

I/we apply for participatory interests (units) in the above selected portfolio(s) and understand that this investment will be subject to the Deeds governing the scheme(s) administered by the Manager. I/we agree to provide all documentation and information required in terms of the Financial Intelligence Centre Act, No. 38 of 2001, and understand that The Manager is prohibited from processing any transaction on our/my behalf until all such documentation and information has been provided. Any money received by the Manager that is not accompanied by the required documentation will be held in the STANLIB Collective Investments (RF) Proprietary Limited bank account until said documentation is received.

The Client and the Financial Adviser, by signing this form, state and declare that they have each read and understood the terms and conditions pertaining to the investment; including but not limited to Investment Objective, Information on Net Asset Value, Charges, Risk Factors, Income Accruals and declare that the Manager and Financial Adviser charges as indicated on this application form are correct; warrant that all statements given by each of them in this application form are true and correct in every aspect; and that such statements shall form the basis of the contract which is to be entered into with the Manager as well as the contract between the Client and the Financial Adviser.

The Client understands that in terms of the Financial Advisory and Intermediary Services Act, 2002 ("FAIS"), his Financial Adviser must be mandated by a licensed FSP as a representative with the necessary FAIS sub - categories to act on the Client's behalf and that it is also the Client's responsibility to determine whether his Financial Adviser has the necessary authorization. (FSCA toll free number: 0800 110443). If a Financial Adviser is not mandated as required by the Financial Sector Conduct Authority (FSCA), the Manager is obliged by law to decline any instructions from such Financial Adviser. The Manager may and will accept instructions on the strength of the Client's signature.

We are required to collect, process and share your Personal Information (PI). Your PI is collected and processed by our staff, representatives or sub-contractors and we make every effort to protect and secure your PI. You are entitled at any time to request access to the information STANLIB has collected, processed and shared.

I/We agree to provide all documentation and information required in terms of STANLIB's business rules and the Financial Intelligence Centre Act, No. 38 of 2001, and understand that STANLIB is prohibited from processing any transaction on our/my behalf until all such documentation has been provided.

I/We confirm that all information provided herein is true and correct and that I/We have read and understood the contents of this form.

SIGNATURE OF CLIENT/ AUTHORISED SIGNATORY *		DATE *	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td></tr> <tr><td style="text-align: center; font-size: 8px;">D</td><td style="text-align: center; font-size: 8px;">D</td></tr> </table> - <table border="1" style="display: inline-table; border-collapse: collapse;"> <tr><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td></tr> <tr><td style="text-align: center; font-size: 8px;">M</td><td style="text-align: center; font-size: 8px;">M</td></tr> </table> - <table border="1" style="display: inline-table; border-collapse: collapse;"> <tr><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td></tr> <tr><td style="text-align: center; font-size: 8px;">Y</td><td style="text-align: center; font-size: 8px;">Y</td><td style="text-align: center; font-size: 8px;">Y</td><td style="text-align: center; font-size: 8px;">Y</td></tr> </table>			D	D			M	M					Y	Y	Y	Y
D	D																		
M	M																		
Y	Y	Y	Y																
		SIGNED AT																	
SIGNATURE OF FINANCIAL ADVISED		DATE *	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td></tr> <tr><td style="text-align: center; font-size: 8px;">D</td><td style="text-align: center; font-size: 8px;">D</td></tr> </table> - <table border="1" style="display: inline-table; border-collapse: collapse;"> <tr><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td></tr> <tr><td style="text-align: center; font-size: 8px;">M</td><td style="text-align: center; font-size: 8px;">M</td></tr> </table> - <table border="1" style="display: inline-table; border-collapse: collapse;"> <tr><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td></tr> <tr><td style="text-align: center; font-size: 8px;">Y</td><td style="text-align: center; font-size: 8px;">Y</td><td style="text-align: center; font-size: 8px;">Y</td><td style="text-align: center; font-size: 8px;">Y</td></tr> </table>			D	D			M	M					Y	Y	Y	Y
D	D																		
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Important to Note:

1. If no date is indicated, we will default to the receipt date.
2. If date on the instruction form is older than one month of date received, the instruction will be processed based on date received.
3. An instruction with a future date, will be processed based on the date received.

*Compulsory field

