

Offshore Funds

Investment Application Form - Legal Entities

PLEASE RETURN THIS COMPLETED FORM TO:

E-mail: offshorequeries@stanlib.com

Offshore Prospectus: A copy of the current prospectus is available at www.stanlib.com or by request from the Manager or Distributor.

KNOW YOUR CLIENT (KYC) DOCUMENTATION REQUIRED

We require, as a minimum, evidence of your:

- Legal name and name(s) currently used;
- Date of birth;
- Nationality;
- Principal residential address;
- Place of birth;
- Passport or National Identity Number; and Sex

Accordingly, please provide:

IDENTIFICATION DOCUMENT

- A certified photocopy of a current national identity document bearing photograph.

AND/ OR:

- A certified photocopy of a current valid passport bearing photograph. A copy of the document(s) should be originally signed by the holder in the presence of a certifying official. **(please take note of the certification parameters that must be adhered to below).**

RESIDENTIAL ADDRESS CONFIRMATION

Certified copy of one of the following:

- Utility bill (not a mobile phone bill)
- Local authority bill – i.e. rates or Council tax
- Address confirmation must be current i.e. statements/bills not more than three months old or valid agreement
- Bank/Building Society or Credit card statement
- Current tenancy contract or agreement

CERTIFICATION PARAMETERS

The suitable certifier must state that the copy document is:

- "Certified as a true copy of the original"

In addition, for photographic documentation:

- "The photograph bears a true likeness to (name of individual)"

Please be advised that "Certification" must clearly state the following information concerning the person who has certified the document(s):

- Name and signature
- Profession, or professional body which qualifies them as a suitable certifier
- Contact address / details
- Date of certification
- Position or capacity

TRANSFERRING OF FUNDS FROM A SOUTH AFRICAN BANK ACCOUNT IF STANLIB PURCHASES FOREIGN CURRENCY ON YOUR BEHALF

Should you wish for STANLIB to assist with the transfer of funds offshore from a South African Bank account, please use the following details when completing the Balance of Payment (BOP) form i.e. the Application to Purchase Foreign Currency. The BOP form you complete would be for the local bank where your funds would be coming from. These funds will be transferred to the below bank account. Once received we will purchase the foreign currency for the investment.

Note: This account only accepts funds in South African Rands (ZAR). Funds should not be converted to any other currency prior to the transfer.

Beneficiary Bank Name: Standard Bank

Beneficiary Bank Account Number: 7118874

Beneficiary Name: Stanlib Fund Managers Jersey Limited

Beneficiary Bank Address: 47-49 La Motte Street, St Helier, Jersey JE4 8XR

Details of Charges: BEN

Details of Payment: Offshore Investment

South African Reserve Bank Authority number/Ruling section: 2587dd2018-04-03



ENTITY TYPE

<input type="checkbox"/> NON-PROFIT / CHARITIES	<input type="checkbox"/> TRUST	<input type="checkbox"/> INVESTMENT SCHEME	<input type="checkbox"/> LISTED COMPANY	<input type="checkbox"/> MEDICAL SCHEME	<input type="checkbox"/> RETIREMENT FUND
<input type="checkbox"/> SOCIAL CLUBS / STOKVEL	<input type="checkbox"/> CLOSE CORPORATION	<input type="checkbox"/> JOINT VENTURE	<input type="checkbox"/> LONG TERM INSURER	<input type="checkbox"/> PARTNERSHIP	<input type="checkbox"/> UNLISTED COMPANY
<input type="checkbox"/> BODY CORPORATE			<input type="checkbox"/> RETAIL	<input type="checkbox"/> INSTITUTIONAL	

IF MANAGER, IN TERMS OF EXCHANGE CONTROL, ARE YOU CATEGORISED AS:

RETAIL INSTITUTIONAL

CLIENT DETAILS: LEGAL ENTITY

All partnerships must complete the addendum available on www.stanlib.com and submit the completed form with the new business application form. All entities are required to complete the Tax Information for Entities Annexures. This annexure is available on our website, www.stanlib.com. All controlling persons need to supply FICA documents.

REGISTERED NAME OF ENTITY	<input type="text"/>											
TRADE NAME	<input type="text"/>											
DATE OF INCORPORATION	<input type="text"/>	<input type="text"/>	-	<input type="text"/>	<input type="text"/>	-	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	REGISTRATION NUMBER	<input type="text"/>
	D	D		M	M		Y	Y	Y	Y		
COUNTRY OF INCORPORATION	<input type="text"/>											
JURISDICTION OF OPERATION	<input type="text"/>											
OTHER INCOME (PLEASE SPECIFY)	<input type="text"/>											
PRINCIPAL PLACE OF BUSINESS	<input type="text"/>											

ADDRESS DETAILS

PHYSICAL ADDRESS

COMPLEX / UNIT / BUILDING NUMBER	<input type="text"/>	COMPLEX / BUILDING NAME	<input type="text"/>
STREET NUMBER	<input type="text"/>	STREET NAME	<input type="text"/>
SUBURB	<input type="text"/>	CITY	<input type="text"/>
COUNTRY	<input type="text"/>	POSTAL CODE	<input type="text"/>

POSTAL ADDRESS

SAME AS PHYSICAL ADDRESS

POSTAL ADDRESS TYPE PO BOX STREET ADDRESS PRIVATE BAG POSTNET SUITE

PO BOX NUMBER / STREET NUMBER	<input type="text"/>	POST OFFICE NAME / STREET NAME	<input type="text"/>
CITY OR TOWN	<input type="text"/>	PROVINCE / STATE / COUNTY	<input type="text"/>
POSTAL CODE	<input type="text"/>	COUNTRY	<input type="text"/>



CONTACT PERSON REPRESENTING LEGAL ENTITY

CONTACT PERSON'S FICA DOCUMENTS ATTACHED

TITLE

NAME/S

SURNAME

ID/PASSPORT NUMBER

PASSPORT EXPIRY DATE
D D - M M - Y Y Y Y

DATE OF BIRTH
D D - M M - Y Y Y Y

COUNTRY OF BIRTH

CELLPHONE (DIALING CODE) -

TELEPHONE (DIALING CODE) -

EMAIL ADDRESS

COUNTRY OF RESIDENCE

NATIONALITY

DO YOU HAVE DUAL CITIZENSHIP YES NO

IF OTHER PLEASE SPECIFY

ADDRESS DETAILS FOR CONTACT PERSON REPRESENTING LEGAL ENTITY

PHYSICAL ADDRESS

COMPLEX / UNIT / BUILDING NUMBER

COMPLEX / BUILDING NAME

STREET NUMBER

STREET NAME

SUBURB

CITY

COUNTRY

POSTAL CODE

POSTAL ADDRESS

SAME AS PHYSICAL ADDRESS

POSTAL ADDRESS TYPE PO BOX STREET ADDRESS PRIVATE BAG POSTNET SUITE

PO BOX NUMBER / STREET NUMBER

POST OFFICE NAME / STREET NAME

CITY OR TOWN

PROVINCE / STATE / COUNTY

POSTAL CODE

COUNTRY



SOURCE OF FUNDS

CODE:

01. Donations	04. Credit	07. Insurance pay out
02. Trade / business	05. Passive income (Rental / Dividends / Interest)	08. Tax refund
03. Venture capital	06. Savings	

PURPOSE OF INVESTMENT

CODE:

01. Start and expand a business	02. Education savings	03. Foreign exchange hedging	04. Save for retirement / financial goals	05. Winding up estate
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BUSINESS ACTIVITY

CODE:

01. Dormant company	04. Sales	07. Administrative and support service	10. Maintenance
02. Management / Consulting	05. Trust Management	08. Distribution	11. Marketing
03. Production and supply	06. Customer service	09. Financial services	12. Wholesale and retail

INDUSTRY

CODE:

01. Government, state owned enterprise, armed forces	05. Politics	09. Unemployed	13. Construction	17. Human health and social work activities
02. Gambling	06. Trust management	10. Administrative and support service	14. Professional, scientific, technical and education	18. Information, technology and communication
03. Non-profit / religious organisation	07. Mining and quarrying	11. Agriculture, forestry and fishing	15. Electricity, water, gas supply and waste management	19. Manufacturing, wholesale or retail
04. Real estate	08. Motor vehicles, transportation, distribution	12. Arts, entertainment, hospitality	16. Financial, investment and insurance	



INVESTMENT DETAILS

The minimum investment amount is USD2,500 or currency equivalent.

Class Name	Share Class	Fund Currency	Investment Amount	Broker Initial Commission
STANLIB OFFSHORE UNIT TRUSTS				
Share Class(A, B1)*				
STANLIB Euro Cash Fund	A	EUR		
STANLIB Sterling Cash Fund	A	GBP		
STANLIB US Dollar Cash Fund	A	USD		
STANLIB Global Bond Fund		USD		
STANLIB Global Balanced Cautious Fund		USD		
STANLIB Global Balanced Fund		USD		
STANLIB Global Equity Fund		USD		
STANLIB European Equity Fund		EUR		
STANLIB Global Emerging Markets Fund		USD		
STANLIB Global Property Fund		USD		
STANLIB Multi-Manager Global Bond Fund		USD		
STANLIB Multi-Manager Global Equity Fund		USD		
STANDARD BANK INTERNATIONAL FUNDS LIMITED				
Share Class (B or C)*				
Multi-Manager Global Balanced Fund		USD		
Multi-Manager Global Balanced Fund		GBP		
Multi-Manager Global Equity Fund		USD		
Multi-Manager Global Equity Fund		GBP		
MELVILLE DOUGLAS				
Melville Douglas Global Growth Fund Limited - USD Global Growth Fund		USD		
Melville Douglas Select Fund Limited - Global Equity Fund		USD		
Melville Douglas Income Fund Limited - USD Income Fund		USD		
Melville Douglas Income Fund Limited - GBP Income Fund		GBP		

* B1 & C Classes are clean and pay no trail commission. Where fees are not selected we will default to 0%.

EXPECTED FUTURE ACTIVITY

Please provide the following details for any regular payments you anticipate making into the class funds:

Class Name	Currency	Investment Amount	Frequency
1.			
2.			
3.			



BANK DETAILS

Payment by cheque is not accepted. Funds should be transferred and paid in the Class Fund's currency using the banking details listed below:

Currency	Account holding bank	Account number
Sterling (GBP)	Bank Name: Bank of New York Mellon, London SWIFT: IRVTGB2X Sort-Code: 70-02-25 IBAN: GB53IRVT70022554756360	Account Name: STANLIB Subs and Reds Account Number: 54756360 Address: One Canada Square, Canary Wharf, London
US Dollars (USD)	Bank Name: Bank of New York Mellon, New York SWIFT: IRVTUS3N ABA Number: 021000018	Account Name: STANLIB Subs and Reds Account No: 8901158895 Address: 101 Barclay Street, New York, NY 10286, United States
Euro (EUR)	Bank Name: Bank of New York Mellon, Frankfurt SWIFT: IRVTDEFX IBAN: DE50503303007714069711	Account Name: STANLIB Subs and Reds Account Number: 7714069711 Address: MesseTurm, Friedrich-Ebert-Anlage 49, 60308 Frankfurt am Main, Germany

Please ensure funds are transferred and paid in the Class Fund's currency and please note that third party payments are not permitted.

FATCA/CRS DECLARATION

Intergovernmental tax information exchange agreements exist between Jersey and USA, UK, South Africa and countries who have adopted the Common Reporting Standards. Personal account holders should expect that their personal and account information will be exchanged with the Jersey tax authority and thereafter any tax authority in which they have tax residence.

CITIZENSHIP DETAILS

ARE YOU A SOUTH AFRICAN CITIZEN? YES NO IF YES, PLEASE PROVIDE YOUR IDENTITY NUMBER

ARE YOU A USA CITIZEN? YES NO

TAX DETAILS

ARE YOU A REGISTERED TAX PAYER? YES NO

ARE YOU A REGISTERED TAX PAYER IN THE USA? YES NO

ARE YOU A REGISTERED TAX PAYER IN MULTIPLE COUNTRIES? YES NO

If 'YES', to any of the above, please list all countries (including South Africa) in which you are resident for tax purposes and provide the associated tax identification numbers in the table below. By ticking 'Not Applicable' you confirm that the country specified does not issue a Tax Identification Number.

Country(ies) of Tax Residency	Tax Identification Number	Not Applicable
1.		<input type="checkbox"/>
2.		<input type="checkbox"/>
3.		<input type="checkbox"/>
4.		<input type="checkbox"/>
5.		<input type="checkbox"/>

FATCA CLASSIFICATION FOR LEGAL ENTITY

2A. Please mark X only in one box below. (Refer to Annexure A for definitions of entities).

FATCA Classification		
2.1	Participating Financial Institution / Registered Deemed Compliant Financial Institution or IGA Reporting Financial Institution	<input type="checkbox"/>
2.2	Certified Deemed Compliant Financial Institution / Excepted Financial Institution or IGA Non Reporting Financial Institution	<input type="checkbox"/>
2.3	Sponsored Financial Institution	<input type="checkbox"/>
2.4	Foreign Financial Institution in non-IGA Jurisdiction	<input type="checkbox"/>



FATCA Classification	
2.5 Specified U.S. Person	<input type="checkbox"/>
2.6 Non-Participating Foreign Financial Institution	<input type="checkbox"/>
2.7 U.S. Person that is not a Specified U.S. Person under the relevant United States Regulations	<input type="checkbox"/>
2.8 Active Non-Financial Foreign Entity which is regularly traded on an established securities market or affiliated thereto	<input type="checkbox"/>
2.9 Active Non-Financial Foreign Entity (other than 2.8)	<input type="checkbox"/>
2.10 Passive Non-Financial Foreign Entity (If you tick this box, please include individual self-certification forms for your Controlling Persons) Indicate the total number of controlling persons <input type="text"/>	<input type="checkbox"/>

CRS CLASSIFICATION FOR LEGAL ENTITY

2B. Please mark X only in one box below. (Refer to Annexure A for definitions of entities).

CRS Classification	
2.1 Financial Institution (if you are an Investment Entity, please see 2C below)	<input type="checkbox"/>
2.2 Active Non-Financial Entity	<input type="checkbox"/>
2.3 Active Non-Financial Entity which is a Governmental Entity, International organisation, Central Bank or entity wholly owned by one or more of the foregoing	<input type="checkbox"/>
2.4 Recognised Pension scheme or plan	<input type="checkbox"/>
2.5 Active Non-Financial Entity which is regularly traded on an established securities market or affiliated thereto	<input type="checkbox"/>
2.6 Active Non-Financial Entity (other than those listed in 2.3 or 2.5 above)	<input type="checkbox"/>
2.7 Passive Non-Financial Entity (If you tick this box, please include individual self-certification forms for your Controlling Persons) Indicate the total number of controlling persons <input type="text"/>	<input type="checkbox"/>

IF YOU HAVE TICKED 2.1, 2.3 OR 2.4 PLEASE PROVIDE YOUR / YOUR SPONSOR'S GIIN:

IF THE GIIN PROVIDED ABOVE IS ISSUED IN THE NAME OF YOUR SPONSOR, PLEASE PROVIDE YOUR SPONSOR'S NAME AS SHOWN ON THE IRS LIST:

2C

Only mark an x in the box above if you are a managed investment entity outside of a CRS participating jurisdiction. If this box is marked, please include individual self-certification forms for your controlling persons.

APPLICATION PAYMENT BANK DETAILS

Please indicate where the monies are being paid or transferred from. Please note that STANLIB Fund Managers Jersey Limited does not accept third party payments.

BANK NAME	<input type="text"/>
BRANCH	<input type="text"/> SWIFT/SORT/BRANCH CODE <input type="text"/>
ADDRESS	<input type="text"/>
ACCOUNT NUMBER	<input type="text"/>
ACCOUNT NAME	<input type="text"/>
SOURCE OF FUNDS	<input type="text"/>
SOURCE OF WEALTH	<input type="text"/>

Note: Unless you are utilising STANLIB for the foreign currency conversion, please ensure all payment instructions are sent directly to the transferring bank and not to STANLIB. STANLIB will take no responsibility for forwarding any original payment instructions received with the application.



REDEMPTION PAYMENT BANK DETAILS

Please indicate where you will require proceeds to be sent to on withdrawal. Please note that proceeds will be sent by Electronic Bank Transfer. STANLIB Fund Managers Jersey Limited does not make third party payments.

BANK																									
BRANCH													SWIFT/SORT /BRANCH CODE												
ADDRESS																									
ACCOUNT NUMBER																									
ACCOUNT HOLDER'S ID NUMBER																									
ACCOUNT NAME																									

INVESTOR SIGNING AUTHORITY

FOR JOINT ACCOUNTS, PLEASE TICK THE BOX IF YOU WISH THE MANAGER TO ACCEPT INSTRUCTIONS FROM ANY ONE PARTY. IF THE BOX IS NOT TICKED, ALL PARTIES WILL BE REQUIRED TO EXECUTE ALL INSTRUCTIONS GIVEN TO THE MANAGER

 YES

If you wish for any other specific instructions to apply, please provide a separate instruction with this application form.

VALUATIONS

Please tick the currency in which you would like to receive your valuations. If no currency is selected, we will default to SA RAND.

CURRENCY FOR VALUATIONS USD GBP EUR SA RAND

DECLARATION FOR PERSONAL INFORMATION, SECURITY AND PRIVACY

The Applicant confirms that the Applicant has permission to disclose to STANLIB the personal information of any dependent or beneficiary provided in this application form. The Applicant agrees that the information provided will apply to all products and/or services whereby the Applicant has entered into an agreement with STANLIB or any of its affiliates. The Applicant agrees that STANLIB, its representatives, contracted third party service providers and any appointed financial adviser(s), including those in foreign jurisdictions, may collect, disclose or otherwise process the Applicant's personal information for the purposes of providing the Applicant with products and/or services which the Applicant has requested from STANLIB.

The Applicant understands that any information it provides in relation to any natural person (a "relevant Applicant") in this application form by whatever means in relation to an application for units in the Fund or information which may be obtained in relation to any relevant Applicant from other sources (the "personal data") will be held, controlled and processed by the Trustee/Custodian and the Manager and their respective associates as "data controllers" for the purposes of (as applicable) (i) the General Data Protection Regulation (2016/679) and any national law issued under that regulation, and (ii) the Data Protection (Jersey) Law 2018, each as amended from time to time (together, the "DP Laws"). The Applicant is advised to read in detail the Privacy and Security Statement at <http://www.stanlib.com/Pages/PrivacyandSecurity.aspx>, which provides an outline of the Applicant's data protection rights and the manner and purposes for which personal data relating to relevant Applicants may be processed by or on behalf of the Trustee/Custodian and the Manager and their respective associates under the DP Laws as they relate to the Applicant.

The Applicant warrants and confirms that:

- (a) it has all necessary authority to provide the personal data on behalf of each relevant Applicant;
- (b) it has and shall continue to comply in all respects with the DP Laws in relation to the disclosure of personal data pursuant to this application form; and
- (c) it will make the Data Protection Notice available to each relevant Applicant and draw their attention to it.

STANLIB undertakes to only process the Applicant's personal information as permitted by law or when STANLIB is required by law to collect and process the Applicant's personal information. STANLIB may also process or share the Applicant's personal information if required for the purposes of law enforcement or to combat money laundering and fraud. STANLIB will take reasonable steps to ensure that all personal information about the Applicant(s), their dependents or beneficiaries will be kept secure and confidential, whether processed by STANLIB or third parties, and will only be kept for as long as required or prescribed by law. In some instances, STANLIB may be required to collect or process the Applicant's personal information in order to service products or conduct research. Where appropriate, this information will be de-identified such that it cannot be linked back to the Applicant individually.

The Applicant is entitled to request access to, update or rectify the Applicant's personal information at any time. Should the Applicant's personal details change, he/she is required to notify STANLIB through his/her appointed financial adviser, or directly by calling the contact centre on 0860 123 003 within 30 days of the changes or +27(0)11 448 5000. The Applicant can make certain changes to their profile online via our secure web portal (www.stanlib.com).

SIGNATURES AND DECLARATIONS

I/We understand that this application is subject to the current Prospectus of the funds, as amended from time to time. I/We confirm that I/We have read and understand the relevant Prospectus pertaining to this investment. I/We warrant that the information contained herein is true and correct, and that, where this application is signed in a representative capacity, I/we have the necessary authority to do so and that this transaction is within my/our power.

I/We confirm that I/we are contracting as principal with the relevant fund (acting through the Manager as appropriate). I/We declare that I/We are not a resident/residents of the United States of America and that I/We are not holding and will not hold shares in the fund(s) for or on behalf of an individual or individuals so resident or a US person or persons. ("U.S. Person" is defined in the Prospectuses). I/We acknowledge and understand that the funds are for unit holders/shareholders who understand the inherent risks associated with such investments.

I/We acknowledge and agree that the Manager, at their absolute discretion, have the right to refuse any application for shares/units in whole or in part without providing an explanation in line with the legislative and regulatory requirements. I/We confirm that I/we will provide all the details and documentation requested in accordance with the Managers KYC I Due Diligence requirements as detailed in the relevant Prospectus pertaining to this investment. I/We also agree and authorise you to make any enquiries you deem necessary in order to verify the information contained in this



application. I/We acknowledge that the contract note evidencing the issue of units/shares to me/us will not be issued until all documentary evidence requested by the Manager in order to full its obligations pursuant to applicable Anti Money Laundering legislation has been provided to the Managers satisfaction. I/We acknowledge that the Manager may not be able to effect a redemption request if documentary evidence relevant to that investment as requested by the Manager in order to fulfil its obligations pursuant to applicable Anti Money Laundering legislation in Jersey has not been provided. I/We agree that the Manager will not be liable for any losses suffered, (for example as a consequence of losses on redemption) by me/us due to any delay in obtaining documentation it requires from me/us. I/We warrant that all funds invested with STANLIB Fund Managers Jersey Limited are not the proceeds of unlawful activities and warrant that I/we have not contravened any anti-money laundering legislation and regulation applicable to me/us.

Electronic transfer of information and in particular, e-mail communication cannot be guaranteed to be secure or virus or error free. It can be intercepted, lost, corrupted and be delivered late or incomplete. By signing this application I/We accept the risks of such communication and hereby authorise electronic communication between us in the full knowledge and understanding of all the risks associated with e-mail communication. I/We agree to adopt reasonable procedures to check for and prevent the transmission of viruses prior to sending information electronically. I/We shall each be responsible for protecting our own systems and interests in relation to communicating electronically and neither the Manager or me/us (and in each case their respective directors, partners, employees, agents or servants and trustees) shall have any liability to each other on any basis (including negligence) in respect of any error, damage or loss or omission arising from or in connection with the electronic communication of information between us and the Managers/Administrators reliance upon such information. I/We confirm that I/we have read and understood the declarations as per this application form and agree to be bound by it, prior to completing this form.

I/We confirm that I/we understand the risk profile of these investments and that it is my/our obligation to familiarise myself/ourselves with and accept the risks associated with these investments. I/We acknowledge that my/our personal and account information will be exchanged with the Jersey tax authority and thereafter any tax authority in which I/We have tax residence. The mandate over the holding is that notified to the Manager on this application, or subsequently received in writing.

SIGNATURE OF FIRST HOLDER/ AUTHORISED SIGNATORY		DATE	<table border="0" style="margin-left: auto; margin-right: auto;"> <tr> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="text-align: center;">-</td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="text-align: center;">-</td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> </tr> <tr> <td style="text-align: center;">D</td> <td style="text-align: center;">D</td> <td></td> <td style="text-align: center;">M</td> <td style="text-align: center;">M</td> <td></td> <td style="text-align: center;">Y</td> <td style="text-align: center;">Y</td> <td style="text-align: center;">Y</td> <td style="text-align: center;">Y</td> </tr> </table>			-			-					D	D		M	M		Y	Y	Y	Y
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		-			-																		
D	D		M	M		Y	Y	Y	Y														

INTERMEDIARY DECLARATION

I/We, the Intermediary hereby confirm that I have satisfied myself as to the identity of the Investor and that I attach all the relevant certified KYC documentation to this form.

I/We acknowledge that for higher risk business further AML/CDD documentation may be requested. I/We also acknowledge that where scanned documentation is unclear, originals will be made available to the Manager or Administrator before the deal is captured.

I/We confirm we have made the investor(s) aware of the Groups Offshore Banking capabilities and have made the appropriate direct referral as necessary.

SIGNATURE OF INTERMEDIARY		DATE	<table border="0" style="margin-left: auto; margin-right: auto;"> <tr> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="text-align: center;">-</td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="text-align: center;">-</td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> <td style="border: 1px solid black; width: 20px; height: 20px;"></td> </tr> <tr> <td style="text-align: center;">D</td> <td style="text-align: center;">D</td> <td></td> <td style="text-align: center;">M</td> <td style="text-align: center;">M</td> <td></td> <td style="text-align: center;">Y</td> <td style="text-align: center;">Y</td> <td style="text-align: center;">Y</td> <td style="text-align: center;">Y</td> </tr> </table>			-			-					D	D		M	M		Y	Y	Y	Y
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BROKER NAME		OFFSHORE BROKER CODE																					



Annexure A - Definitions of Entities

This list is not exhaustive, it only provides the main entity definitions. You may refer to the FATCA & CRS regulations on SARS website www.sars.gov.za for further definitions.

EXEMPT BENEFICIAL OWNERS

Exempt beneficial owners (EBOs) are specific classes of persons who are the beneficial owners of a payment that is treated as exempt. These persons do not have any reporting or registration requirements in relation to any financial accounts that they maintain. Additionally, reporting SA financial institutions are not required to review or report on accounts held by exempt beneficial owners.

Entity	Definition
Governmental Entity	The government of South Africa, any political subdivision of South Africa (which, for the avoidance of doubt, includes a state, province, or municipality), or any wholly owned agency or instrumentality of South Africa or any one or more of the foregoing (each, a "South African Governmental Entity").
International Organisation	Any international organisation or wholly owned agency or instrumentality thereof. This category includes any intergovernmental organisation (including a supranational organisation) <ol style="list-style-type: none"> 1. That is comprised primarily of non-United States governments; 2. That has in effect a headquarters agreement with South Africa; and 3. The income of which does not incur to the benefit of private persons.
Central Bank	An institution that is by law or government sanction the principal authority, other than the government of South Africa itself, issuing instruments intended to circulate as currency. Such an institution may include an instrumentality that is separate from the government of South Africa, whether or not owned in whole or in part by South Africa.
Treaty-Qualified Retirement Fund	A fund established in South Africa, provided that the fund is entitled to benefits under an income tax treaty between South Africa and the United States on income that it derives from sources within the United States (or would be entitled to such benefits if it derived any such income) as a resident of South Africa that satisfies any applicable limitation on benefits requirement, and is operated principally to administer or provide pension or retirement benefits.
Broad Participation Retirement Fund	A fund established in South Africa to provide retirement, disability, or death benefits, or any combination thereof, to beneficiaries that are current or former employees (or persons designated by such employees) of one or more employers in consideration for services rendered and: <ol style="list-style-type: none"> 1. Does not have a single beneficiary with a right to more than five percent of the fund's assets; 2. The fund receives at least 50% of its total contributions from sponsoring employers.
Narrow Participation Retirement Fund	A fund established in South Africa to provide retirement, disability, or death benefits to beneficiaries that are current or former employees (or persons designated by such employees) of one or more employers in consideration for services rendered and: <ol style="list-style-type: none"> 1. The fund has fewer than 50 participants 2. The fund is sponsored by one or more employers that are not investment entities or Passive Narrow Participation Retirement Fund; 3. The employee and employer contributions to the fund are derived from the earned income of the employee.
Pension Fund for Exempt Beneficial Owner	A fund established in South Africa by an exempt beneficial owner to provide retirement, disability, or death benefits to beneficiaries or participants that are current or former employees of the exempt beneficial owner (or persons designated by such employees), or that are not current or former employees, if the benefits provided to such beneficiaries or participants are in consideration of personal services performed for the exempt beneficial owner.
Investment Entity Wholly Owned by Exempt Beneficial Owners	An entity that is a South African financial institution solely because it is an investment entity, provided that each direct holder of an equity interest in the entity is an exempt beneficial owner, and each direct holder of a debt interest in such entity is either a depository institution (with respect to a loan made to such Entity) or an exempt beneficial owner.

DEEMED COMPLIANT FINANCIAL INSTITUTIONS

A deemed-compliant Financial Institution (FI) is a financial institution that is considered to have satisfied the requirements under FATCA and therefore is not subject to FATCA, without having to undertake the obligations under a Financial institution agreement with the IRS.

Entity	Definition
Financial Institution with local client base	The Financial Institution must be licensed and regulated as a financial institution under the laws of South Africa and it must have no fixed place of business outside of South Africa. At least 98 percent of the financial accounts by value maintained by the financial institution must be held by residents (including residents that are entities) of South Africa.
Local Bank	The financial institution operates solely as (and is licensed and regulated under the laws of South Africa as) <ol style="list-style-type: none"> (a) a bank or (b) a credit union or similar cooperative credit organization that is operated without profit.
Financial Institution with only low-value accounts	A South African financial institution satisfying the following requirements: <ul style="list-style-type: none"> • The financial institution is not an investment entity • The financial institution does not have more than \$50 million in assets on its balance sheet, and the financial institution and any related entities, taken together, do not have more than \$50 million in total assets on their consolidated or combined balance sheets.
Qualified credit card issuer	The financial institution is a financial institution solely because it is an issuer of credit cards that accepts deposits only when a customer makes a payment in excess of a balance due with respect to the card and the over payment is not immediately returned to the customer;
Investment entities that qualify as deemed-compliant FFI's and other special rules	The financial institutions described below are Non-Reporting South African financial institutions that shall be treated as deemed-compliant PFI's for purposes of section 1471 of the U.S. Internal Revenue Code: <ol style="list-style-type: none"> A. Trustee-Documented Trust. A trust established under the laws of South Africa to the extent that the trustee of the trust is a Reporting U.S. Financial Institution, Reporting Model 1 PFI, or Participating PFI and reports all information required to be reported pursuant to the Agreement with respect to all U.S. Reportable Accounts of the trust. B. Sponsored investment entity and controlled foreign corporation C. Sponsored, Closely Held Investment Vehicle. D. Investment advisors and investment Managers. An investment entity established in South Africa that is a financial institution solely because it <ol style="list-style-type: none"> 1. Renders investment advice to, and acts on behalf of, or 2. Manages portfolios for, and acts on behalf of, a customer for the purposes of investing, managing, or administering funds deposited in the name of the customer with a Financial Institution other than a Non-participating Financial Institution.



Entity	Definition
	E. Collective investment vehicle. An investment entity established in South Africa that is regulated as a collective investment vehicle, provided that all of the interests in the collective investment vehicle (including debt interests in excess of \$50,000) are held by or through one or more exempt beneficial owners, Active NFFEs, U.S. Persons that are not Specified U.S. Persons, or Financial Institutions that are not Non-participating Financial Institutions.

OTHER ENTITIES

Entity	Definition
U.S. Person	The term "U.S. Person" means: <ul style="list-style-type: none"> • A citizen or resident of the United States • A partnership created or organised in the United States or under the law of the United States or of any state, or the District of Columbia. • A corporation created or organised in the United States or under the law of the United States or of any state, or the District of Columbia • Any estate or trust other than a foreign estate or foreign trust • A person that meets the substantial presence test • Any other person that is not a foreign person
Specified U.S. Person	Specified U.S. person means any U.S. person other than: <ul style="list-style-type: none"> • A corporation the stock of which is regularly traded on one or more established securities markets; • Any corporation that is a member of the same expanded affiliated group as a corporation described in (1) above; • Any organization exempt from taxation or an individual retirement plan; • The United States or any wholly owned agency or instrumentality thereof; • Any State, the District of Columbia, any U.S. territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing; • Any bank; • Any real estate investment trust; • Any regulated investment company or any entity registered with the Securities Exchange Commission under the Investment Company Act of 1940; • Any common trust fund; • Any trust that is exempt from tax; • A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State; • A broker; and • Any tax exempt trust.
Financial Institution (FI)	A financial institution, which is any non-U.S. entity that; acting for or on behalf of a customer: <ul style="list-style-type: none"> • Accepts deposits in the ordinary course of a banking or similar business, • As a substantial portion of its business, holds financial assets for the account of others, or • Is engaged (or holding itself out as being engaged) primarily in the business of investing, reinvesting, or trading in securities, partnership interests, commodities, or any interest in such securities, partnership interests, or commodities. <p>A Financial Institution can either be participating or Non Participating.</p>
Participating FI	A Participating FI means a financial institution that has agreed to comply with the requirements of a participating FI agreement, including a financial institution described in a Model 2 IGA that has agreed to comply with the requirements of an participating FI agreement. Under FATCA, to avoid being withheld upon, financial institutions (Participating FIs) may register with the IRS and agree to report to the IRS certain information about their U.S. accounts, including accounts of certain entities with substantial U.S. owners. In this case these FIs are participating FIs.
Non Participating FI	A Non-Participating Financial Institution (NPFIs) is a financial institution that is: <ul style="list-style-type: none"> • located in a jurisdiction that does not have an intergovernmental agreement with the US and the financial institution has not entered into a FATCA agreement with the IRS.
Non Financial Entity (NFE)	Any entity that is not a financial institution. A Non Financial Entity(NFE) can include privately held operating businesses, professional services firms, or certain other non-publicly-traded entities not involved in banking or financial-sector activities. A NFE will either be an Active NFE or a Passive NFE.
Active NFE	An Active NFE is one where: <ul style="list-style-type: none"> • Less than 50% of such entity's gross income for the preceding calendar year is passive income; and • Less than 50% of the assets held by such entity are assets that produce or are held for the production of passive income (calculated as a weighted average of the percentage of passive assets measured quarterly). <p>Passive income includes dividends, interest, rents and royalties.</p>
Passive NFE	A Passive NFE means any NFE that is not an Active NFE.

