

Additional Investment Form Collective Investments (Unit Trusts): Kenya

CLIENT DETAILS

ENTITY NUMBER	<input type="text"/>
NAME & SURNAME / ENTITY NAME *	<input type="text"/>
IDENTITY / PASSPORT / REGISTRATION NUMBER *	<input type="text"/>

SOURCE OF INCOME *

CODE:

<input type="checkbox"/> 01. Gifts / inheritance / winnings	<input type="checkbox"/> 04. Passive income (Rental, Dividends, Interest)	<input type="checkbox"/> 07. Retirement / insurance pay out
<input type="checkbox"/> 02. Trade / business	<input type="checkbox"/> 05. Savings	<input type="checkbox"/> 08. Salary / bonus
<input type="checkbox"/> 03. Credit	<input type="checkbox"/> 06. Child / spousal support payments	<input type="checkbox"/> 09. Tax refund

***Compulsory Section**

PURPOSE OF INVESTMENT *

CODE:

<input type="checkbox"/> 01. Start and expand a business	<input type="checkbox"/> 02. Education savings	<input type="checkbox"/> 03. Foreign exchange hedging	<input type="checkbox"/> 04. Save for retirement / financial goals	<input type="checkbox"/> 05. Winding up estate
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***Compulsory Section**

IF YOUR CELL NUMBER AND EMAIL ADDRESS HAVE CHANGED, PLEASE UPDATE BELOW

CELL NO	<input type="text"/>
EMAIL	<input type="text"/>

INVESTMENT SELECTION

PAYMENT METHOD	<input type="checkbox"/> CHEQUE	<input type="checkbox"/> DIRECT TRANSFER	<input type="checkbox"/> INTERNATIONAL TRANSFER
	<input type="checkbox"/> M- PESA	<input type="checkbox"/> PESALINK	

Complete the investment amount next to the portfolio selection in the space provided.

Please Note:

STANLIB Kenya accepts personal/corporate and bankers cheques payable to the account name of the fund in which you intend to invest in. No third party cheques or cash will be accepted. The bank account details are as listed below. Payments can be made directly into the inflow accounts in which case the transfer confirmation details must be attached to the application form. Please note that the bank deposit slip will be deemed as a receipt but not as value by STANLIB Kenya.

Portfolio Name	Class	Minimum amount	Investment amount	Maximum Initial Manager Charge	Maximum Ongoing Charge (excl. VAT)
STANLIB Kenya Balanced Fund	A	Kshs 10,000	KSH	0.00%	3.00%
STANLIB Kenya Bond Fund	A	Kshs 100,000	KSH	0.00%	2.00%
STANLIB Kenya Bond Fund	B1	Kshs 20,000,000	KSH	0.00%	1.50%
STANLIB Kenya Equity Fund	A	Kshs 10,000	KSH	0.00%	3.00%



ACCOUNT HOLDER'S NAME

[Grid for account holder name]

FINANCIAL ADVISER

- 1. The Client hereby confirms that he/she has appointed/hereby appoints the Financial Adviser (FA) for purposes of his/her dealings with STANLIB.
2. The Client agrees that STANLIB will pay the FA the charges as set out in this application form. Where the FA is a legal entity (e.g a company or a close corporation) the FA is represented herein by the natural person identified in the relevant block below.
3. Where the Client has terminated his FA's appointment, it is the Client's responsibility to advise STANLIB of such termination immediately. On receipt of such written notification, STANLIB will cease payment of all charges, other than accrued charges to the FA.
4. The Client understands that where he/she changes FA the consequences of such change may result in different or new fund and fees structures.

FINANCIAL ADVISER 1

FA LICENCE NO:

[Grid for FA licence number]

STANLIB ID (BROKER CODE)

[Grid for STANLIB ID]

FA / AGENCY NAME

[Text box for FA / Agency name]

LEAD GENERATOR NAME

[Grid for lead generator name]

TERMS AND CONDITIONS

- 1. The terms and conditions signed and agreed to in the Investment Application form will remain in force and apply to this transaction. Refer to your Investment application form for the detailed terms and conditions. Alternatively you can request a copy of the terms and conditions from your Financial adviser or the offices of STANLIB Kenya Limited ("the Manager").
2. Some of the terms and conditions are set out in the Investment Application Form and the remainder is set out in this form. The Client agrees to be bound by the terms and conditions as set out in the Investment Application Form as well as those set out in this form. In the event of a conflict between the terms and conditions in the Investment Application Form and the terms and conditions contained in this Additional Investments form, the terms and conditions in this form will apply.
3. The client hereby agrees to provide all documentation and information, and understands that the Manager is prohibited from processing any transaction on the client's behalf until all such documentation and information have been received. You may contact the Manager for a copy of the identification and business requirements.
4. STANLIB reserves the right in its sole discretion to reduce or increase the service charges depending on circumstances. It is the Client's responsibility, or where applicable that of the Client's Financial adviser, to determine the relevant charge structures applicable to the investment. The charges on the Client's Investment will be reflected in the Client's statements.
5. Payment references used for deposits should be according to the requested reference on the Additional investment form. Funds will be allocated if:
5.1. The account number supplied as a payment reference,
5.2. An entity number is supplied and only one UT portfolio exists,
5.3. An ID number was used as a payment reference and only one UT portfolio exists
6. Money will not be allocated to portfolios if:
6.1. An entity number is supplied as payment reference but multiple UT portfolios exist,
6.2. No Entity or UT account number was supplied
7. Initial manager charges are negotiable between the Financial adviser and the Client, and should depend on the level of professional advice/service rendered. The Financial Adviser can only negotiate their portion of the initial manager charge. STANLIB may at its sole discretion, vary the percentage of the total upfront charge that it pays to the Financial Adviser.
8. The Manager does not provide any guarantee either with respect to the capital or the return of a portfolio.
9. Redemption rights may be suspended in certain specified circumstances in terms of the CMA CIS Regulation, 2001

No cash should be paid out to the Financial Advisor and any such payment is made at the risk of the client.

DECLARATION

I/We agree to provide all documentation and information required and understand that STANLIB is prohibited from processing any transaction on my/our behalf until all such documentation has been provided. I/We confirm that all information provided herein is true and correct and that I/we have read and understood the contents of this form.

Signature and date fields for Client/Authorised Signatory, Financial Adviser/Business Consultant, including date grids (DD-MM-YYYY) and signed at boxes.

